

SYMPLECTIC GEOMETRY PRECIS

In preparation for the Qualifying Examination.

Symplectic Manifolds

Basic Concepts:

(M, ω) symplectic iff $\dim(M) = 2n$, ω is a closed, nondegenerate 2-form
 $\hookrightarrow \omega_p : T_p M \times T_p M \rightarrow \mathbb{R}$ symplectic

Thm: ω symplectic $\Leftrightarrow \omega^n \neq 0$.

Maslov Index

Symplectic matrix $A = U P$, $U = A(A^T A)^{-1/2}$, $P = (A^T A)^{1/2}$
 Hom equiv. $\Psi_t : \mathrm{Sp}(2n, \mathbb{R}) \rightarrow \mathrm{U}(n)$; $\Psi_t(A) = A(A^T A)^{-t/2}$

Can show that $\pi_1(\mathrm{U}(n)) = \mathbb{Z}$ by $\det_C : \mathrm{U}(n) \rightarrow S^1$.
 Maslov index is an explicit description of this.

$$\varphi : \mathbb{R}/\mathbb{Z} \rightarrow \mathrm{Sp}(2n)$$

homotopy, product, direct sum and normalization
 flow through $\mathrm{U}(n)$ and take degree.

Lagrangian Subspaces

$$L(n) = L(\mathbb{R}^{2n}, \omega_0)$$

$$z = \begin{pmatrix} x \\ y \end{pmatrix}, \quad \Lambda = \mathrm{im}(z). \quad \Lambda \in L(n) \Leftrightarrow x^T y = y^T x.$$

$$+ \mathrm{rk}(z) = n$$

Lagrangian frame unitary: $\tilde{z} := z + iY$ unitary.

- Prop:
- $\Lambda \in L(n)$, and $A \in \mathrm{Sp}(2n)$, $A\Lambda \in L(n)$
 - $\Lambda, \Lambda' \in L(n)$, $\exists A \in \mathrm{U}(n)$ s.t. $\Lambda = A\Lambda'$.

Can build a symplectically standard and orthogonal basis using Lagrangians.

Examples:

$$1) (\mathbb{R}^{2n}, \omega_0), \quad \omega_0 = \sum_j dx_j \wedge dy_j$$

$$2) S^2 = \{(x, y, z) \in \mathbb{R}^3 \mid x^2 + y^2 + z^2 = 1\}$$

$$\omega_x(\xi, \eta) = \langle x, \xi \times \eta \rangle$$

Symplectic Vector Bundle

(E, ω) , $\pi : E \rightarrow M$, $\omega \in \Gamma(E)$ a "symplectic" form. Equivalent to the reduction of the structure group to $\mathrm{Sp}(2k)$.

Example: $E \rightarrow M$ any v.b., then $E \oplus E^*$
 $\Omega_{can}(v_0 \otimes v_i^*, w_0 \otimes w_i^*) = w_i^*(v_0) - v_i^*(w_0)$.

Moser and Darboux:

Useful lemma: $\frac{d}{dt} p_t^* w_t = p_t^* \left(\frac{d}{dt} w_t + L_{X_t} w_t \right)$

Tubular Neighbourhood Theorem:

$i: X \hookrightarrow M$, then \exists convex nhood U_0 of X in NX , and nhood U of $x \in M$, and a diffeomorphism

$\varphi: U_0 \rightarrow U$:

$$\begin{array}{ccccc} NX & \xrightarrow{\quad \varphi \quad} & U & \subseteq & M \\ & \swarrow i_0 & \curvearrowright & \searrow i & \\ & X & & & \end{array}$$

Sketch of proof:

Prove it for $M = \mathbb{R}^n$, X compact:

$$U^\varepsilon = \{p \in \mathbb{R}^n \mid |p - q| < \varepsilon, \text{ some } q \in X\}$$

ε small $\rightarrow \forall p \exists! q \in X$ s.t. $|p - q|$ is minimal

Let $\pi: U^\varepsilon \rightarrow X$, $p \mapsto q$

\hookrightarrow smooth submersion s.t. $(1-t)p + tq \in U^\varepsilon$

On the other hand,

$$NX \cong \{v \in \mathbb{R}^n \mid v + w \quad \forall w \in T_x X\}.$$

Let $\exp: NX \rightarrow \mathbb{R}^n$ $NX^\varepsilon = \{(x, v) \in NX \mid |v| < \varepsilon\}$

$$(x, v) \mapsto x + v$$

ε small: $NX^\varepsilon \xrightarrow{\exp} U^\varepsilon$

$$\begin{array}{ccccc} & & \curvearrowright & & \\ & \swarrow i_0 & & \searrow i & \\ & X & & & \end{array}$$

For compact X mani, replace 1.1 with Riemann norm.

Homotopy Invariance

let $p_t: M \rightarrow S$, w closed deg K , then $p_t^* w$ also closed.

How are $[p_t^* w]$ and $[w]$ related?

$$\hookrightarrow p_t^* - id = dQ - Qd \Rightarrow [p_t^* w] = [w]$$

Difference is exact.

Moser's Theorem

M compact, $[w_0] = [w_1]$, and $w_t = (1-t)w_0 + t w_1$ symplectic $\forall t \in [0,1]$, then $\exists! \rho: M \times \mathbb{R} \rightarrow M$, s.t. $p_t^* w_t = w_0$ and $\varphi_0 = id$.

Moser Trick:

On a compact manifold, equivalent to finding a family of v.f.

$$\text{If such a } \rho_t \text{ exists, then } 0 = \frac{d}{dt} (p_t^* w_t)$$

$$= p_t^* \left(\frac{d}{dt} w_t + L_{X_t} w_t \right)$$

$$\Rightarrow L_{X_t} w_t + \frac{d}{dt} w_t = 0$$

$$\text{Homotopy formula } \Rightarrow \frac{dw_t}{dt} = w_1 - w_0 = d\mu$$

$$\dots \Rightarrow 2X_t w_t + \mu = 0$$

$$\text{Moser equation: } 2X_t w_t + \mu = 0$$

Relative Moser

$i: X \hookrightarrow M$, X compact, w_0, w_1 symplectic on M
 s.t. $w_{0|p} = w_{1|p} \forall p \in X$. Then $\exists U_0, U_1 \subseteq M$,
 $\varphi: U_0 \rightarrow U_1$ s.t.

$$\begin{array}{ccc} U_0 & \xrightarrow{\varphi} & U_1 \\ i_0 \swarrow & & \searrow i_1 \\ X & & \end{array} \quad \varphi^* w_1 = w_0$$

Proof: uses Relative Poincaré Lemma:

$$X \subseteq U \subseteq M, i: X \rightarrow M, w \text{ closed on } U, i^* w = 0.$$

$$\Rightarrow w \text{ exact and } w = d\mu, \mu \in \Omega^{k-1}(U), \mu|_X = 0 \quad \forall \mu \in \Omega^{k-1}(U)$$

Proof sketch

Choose nhood U of X , $w_1 - w_0$ closed and vanishes on X , \Rightarrow Relative poincaré $\Rightarrow \exists \mu \in \Omega^1(U)$ s.t. $w_1 - w_0 = d\mu$, $\mu|_X = 0 \forall p \in X$.

$$\text{Let } w_t = (1-t)w_0 + tw_1 = w_0 + t d\mu \quad \} \text{ symp on small } U$$

Then apply Moser

Application: Darboux

(M, ω) , $p \in M$. Then $\exists (U, x^i, y^i)$ on p s.t. on U ,

$$\omega = \sum_{i=1}^n dx^i \wedge dy^i$$

Proof sketch:

Apply relative Moser to $X = T_p M$:

$$1) \text{ On } T_p M, \omega_p = \sum_i dx^i|_p \wedge dy^i|_p$$

$$\hookrightarrow \text{extend } \tilde{\omega} = \sum_i dx^i \wedge dy^i$$

$$2) \tilde{\omega} \text{ and } \omega \text{ agree on } X, \exists \varphi: U_0 \rightarrow U_1 \text{ s.t.}$$

$$\varphi^* \tilde{\omega} = \omega \Rightarrow \sum_i d(x^i \circ \varphi) \wedge d(y^i \circ \varphi) = \omega$$

$$3) \text{ set } \bar{x}^i = x^i \circ \varphi, \bar{y}^i = y^i \circ \varphi.$$

Weinstein Lagrangian Neighbourhood Theorem

Statement: $i: X^n \hookrightarrow M^{2n}$, w_0 and w_1 symplectic, and $i^* w_0 = i^* w_1 = 0$. Then $\exists U_0, U_1$ of X , $\varphi: U_0 \rightarrow U_1$ s.t.

$$\begin{array}{ccc} U_0 & \xrightarrow{\varphi} & U_1 \\ i \swarrow & \curvearrowright & \searrow i \\ X & & \end{array} \quad \varphi^* w_1 = w_0$$

Two Important Prelim Results:

Technical: have $i^* w_1 = i^* w_0 = 0$ on X , but does not say $w_{1|p} = w_{0|p} \forall p \in X$. Workaround: perturb w_1 a little so that it agrees $\forall p \in X$, then apply Moser.

Prop: $(V^{2n}, \Omega_0), (V^{2n}, \Omega_1)$ symplectic vector space, U Lagrangian for Ω_0, Ω_1 , W any complement to U in V . From W we can canonically construct a linear iso $L: W \xrightarrow{\cong} V$ s.t. $L|_U = id|_U$, $L^* \Omega_1 = \Omega_0$

Whitney Extension theorem: suppose $\forall p \in X$ we have a linear iso $L_p: T_p M \cong T_p M$ s.t. $L_p|_{T_p X} = id$ and L_p depends smoothly on p . Then \exists embedding $h: N \hookrightarrow M$, $x \in N$ s.t. $h|_X = id_X$, $d h_p = L_p \forall p \in X$.

WLNT PROOF:

Whitney $\Rightarrow \exists$ nhood N of X , $h: N \hookrightarrow M$ s.t. $h|_X = id_X$, $d h_p = L_p \forall p \in X$. Then $\forall p \in X$,

$$(h^* w_1)_p = (d h_p)^* w_{1|p} = L_p^* w_{1|p} = w_{0|p}$$

Apply Moser to w_0 and $h^* w_1$ on $i: X \hookrightarrow N \rightarrow f: U_0 \rightarrow N$
 Let $\varphi = h \circ f$.

Contact Structures

Contact manifold (M, ξ) : $\dim(M) = 2n+1$, ξ a codim 1 distⁿ that is maximally non-integrable. Say $\xi = \ker(\alpha)$. Frobenius says $d\alpha \equiv 0$ on integrable. \hookrightarrow Contact is when $d\alpha$ is nondegenerate. $\hookrightarrow d\alpha(x,y) = X(\alpha(y)) - Y(\alpha(x)) + \alpha([x,y])$

Properties of contact forms

- 1) $d\alpha$ nondeg $\Leftrightarrow (d\alpha)^n$ vol form on $\xi \Leftrightarrow \alpha \wedge (d\alpha)^n \neq 0$.
- 2) $\xi = \ker(\alpha) = \ker(\alpha')$ $\Rightarrow \alpha$ contact $\Leftrightarrow \alpha'$ contact
 $\hookrightarrow \alpha = f\alpha'$, $f > 0$
- 3) $d\alpha$ independent of α up to +ve scaling

Legendrian: $L \subset (M, \xi)$ Legendrian if $T_q L \subseteq (\xi_q, d\alpha_q)$

Lagrangian subspace

Integral submanifolds $L \subseteq M$ s.t. $T_q L \subseteq \xi_q$ are isotropic.

Reeb vector field: $T_q M = \ell_q \oplus \xi_q$

$$\xi_q = \ker(\alpha_q), \quad \ell_x = \ker(d\alpha_q)$$

$$\exists! v.f: M \rightarrow TM \text{ s.t. } \langle Y \rangle d\alpha = 0, \quad \alpha(Y) = 1$$

Example:

$M = \mathbb{R}^3$, $\alpha: u \cdot dx$ is contact $\Leftrightarrow p = (\nabla \times u) \cdot u$ is never 0

$$d\alpha(v_1, v_2) = ((\nabla \times u) \times v_1) \cdot v_2$$

Contact vector field associated with H :

$$p X_H = u \times \nabla H + H(\nabla \times u).$$

Contact Manifold Examples:

- 1) \mathbb{R}^{2n+1} , $\alpha_0 = \sum_j y_j dx_j - dz$
 $d\alpha = \sum_j dy_j \wedge dx_j$
 $\xi_0 = \text{span} \left\{ \frac{\partial}{\partial x_j} + y_j \frac{\partial}{\partial z_j}, \frac{\partial}{\partial y_j} \right\}$
 $R_\alpha = -\frac{\partial}{\partial z}$

Legendrian: planes parallel to y axis.

- 2) \mathbb{R}^{2n+1} , $\alpha_1 = \frac{1}{2} \sum_j (y_j dx_j - x_j dy_j) - z$

isomorphic to 1)

- 3) L any compact manifold: 1-jet bundle:

$$J^1 L := T^* L \times \mathbb{R}$$

is a contact manifold w/ contact form

$$\alpha = \lambda \text{can} - dz$$

$$R_\alpha = -\frac{\partial}{\partial z}$$

Legendrian: $L_S = \{(x, ds(x), S(x)) \mid x \in L\} \subset J^1 L$.

for any $S \in C^\infty(L)$.

- 4) Riemannian L , $N = S(T^* L)$ be the unit sphere bundle in $T^* L$.

$$\alpha = \lambda \text{can}|_{S(T^* L)}$$

is a contact form.

R_α = Hamiltonian v.f dual to geodesic flow on TL .

- 5) $\Sigma = S^{2n+1} \subseteq \mathbb{R}^{2n+2} = \mathbb{C}^{n+1}$. $\forall q \in \Sigma$,

$$\xi_q := T_q \Sigma \cap J_0 T_q \Sigma$$

has codim 1

$$\lambda_0 = \frac{1}{2} \sum_{j=0}^n y_j dx_j - x_j dy_j$$

Reeb flow: $\dot{x} = 2y, \quad \dot{y} = -2x$.

Contactomorphisms:

Contactomorphism: (M, ξ) , α contact form. $\varphi: M \rightarrow M$ a diffeo s.t. $\varphi^* \alpha = e^h \alpha$, $h \in C^\infty(M)$.

Contact isotopy: $\varphi_t: M \rightarrow M$, $\varphi_0 = \text{id}$, $\varphi_t^* \alpha = e^{ht} \alpha$.

Contact vector fields:

(1) $X: M \rightarrow TM$ is contact iff $\exists H: M \rightarrow \mathbb{R}$ s.t.

$$Z_X \alpha = H, \quad Z_X d\alpha = (Z_R dH) \alpha - dH \quad (\dagger)$$

(2) This is invertible: any $H \in C^\infty(M)$ gives ! v.f.

Proof of Contact v.f. Equivalence:

(i) holds $\Rightarrow L_X \alpha = g \alpha$, $g = 2R dH \Rightarrow X$ contact
 X contact $\Rightarrow L_X \alpha = g \alpha$, let $H = Z_X \alpha$
 $\Rightarrow Z_X d\alpha = g \alpha - H \rightarrow$ eval on R to get $g = 2R dH$.

(ii) Let $H \in C^\infty(N)$. \exists section $\zeta \in \xi$ s.t. $-Z_\zeta d\alpha|_\xi = dH|_\xi$.
Let $X_H = H Y + \zeta$.

Important Exercise Remarks:

1) Not every contact v.f. is the Reeb v.f. of a contact form

X is Reeb v.f. of $\alpha' \Leftrightarrow X$ is transverse to ξ

i.e. $\alpha(X) \neq 0$ v.f. defining α

2) β a 1-form s.t. $\beta(R) = 0$, then \exists v.f. $\zeta \in \xi$

such that $\beta = Z_\zeta d\alpha$.

Contact Isotopy:

Idea: want α_t w/ φ_t s.t. $\varphi_t^* \alpha_t = f_t \alpha_t$, $\varphi_0 = \text{id}$.
 \dagger

look for v.f.: $\frac{d}{dt} \varphi_t = X_t \circ \varphi_t$, $\varphi_0 = \text{id}$.

$$\frac{d}{dt}(\varphi_t) = \varphi_t^* \left(\frac{d}{dt} \alpha_t + L_{X_t} \alpha_t \right) = g_t \varphi_t^* \alpha_t \quad g_t = \frac{1}{f_t} \frac{d}{dt} f_t$$

find X_t , $h_t = g_t \circ \varphi_t^{-1}$ s.t.

$$\frac{d}{dt} \alpha_t + L_{X_t} \alpha_t = h_t \alpha_t$$

To solve: let $h_t = 2R_t \frac{d}{dt} \alpha_t$. Then $h_t \alpha_t - \frac{d}{dt} \alpha_t$ vanishes on ξ_t . Then $\exists X_t$ s.t.

$$Z_{X_t} d\alpha_t = h_t \alpha_t - \frac{d}{dt} \alpha_t \quad \alpha_t(X_t) = 0.$$

Contact Darboux:

Thm: Every contact structure is locally diffeomorphic to the standard structure.

proof:

- 1) pick a point $p \in M$. Look at $T_p M = \xi_p \oplus \ell_p$. Then for a pair α_p , ℓ_p , one can choose a basis so that $\langle \alpha_i, \partial_j \rangle = \xi_p$, $\langle \partial_i \rangle = \ell_p$, and $\partial_{x_i}, \partial_{y_i}$ are symplectically standard.
- 2) Then these give a chart $\phi: U \rightarrow \mathbb{R}^{2n+1}$, $\{x^i, y^i, z\}$ for which $\phi^* \alpha_p = \alpha|_p$, but not necessarily everywhere else.
- 3) Interpolate $\alpha_t = t \phi^* \alpha_p + (1-t)\alpha$, all contact
- 4) Gray's $\rightarrow \varphi_t^* \alpha_t = f_t \alpha_p$, so $\phi \circ \varphi: U \rightarrow \mathbb{R}^{2n+1}$ is the appropriate chart.

Gray's Stability Theorem:

α_t contact on a closed manifold $\Rightarrow \alpha_t = \varphi_t^*(f_t \alpha_0)$.

- 1) Equivalent to show $\varphi_t^* \alpha_t = f_t \alpha_0$.
- 2) Let $h_t = 2R_t \frac{d}{dt} \varphi_t$, and let $\sigma_t = \frac{d}{dt} \varphi_t - h_t \alpha_t$
Then $\sigma_t \in \text{ker}(R) \Rightarrow \sigma_t$ is tangent to \S_t .
- 3) Invert σ_t to get $\chi_t \subseteq \S_t \rightarrow \chi_t d\alpha_t = \sigma_t$, $\chi_t \alpha_t = 0$.
- 4) Satisfies $\frac{d}{dt} \alpha_t + L_{\chi_t} \alpha_t = h_t \alpha_t$
- 5) Flow of $\chi_t = \varphi_t \leftarrow M$ compact + smooth.
 $\frac{d}{dt} \varphi_t = \chi_t \circ \varphi_t$
- 6) Set $f_t = e^{\int_0^t h_s \circ \varphi_s dt}$
- 7) Check $\frac{d}{dt} (f_t \varphi_t^* \alpha_t) = 0$.

Symplectization

(M, \S) contact. Then

$$W = \{(q, v^*) \mid \begin{array}{l} q \in M, v^* \in T_q M, \text{Ker } v^* = \S_q, \\ v \in T_q M, \alpha_q(v) > 0 \Rightarrow v^*(v) > 0 \end{array}\}$$

$W \subseteq (T^* M, \omega_{\text{can}})$:

$$\omega_\alpha = \mathbb{R} \times M, \omega_\alpha = -d(e^s \alpha)$$

Then $\varphi_\alpha : \mathbb{R} \times M \rightarrow W ; (s, q) \mapsto e^s \alpha_q$

is a symplectomorphism $(\omega_\alpha, \omega_\alpha) \rightarrow (W, \omega_{\text{can}}|_W)$.

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Examples:

- 1) Unit Sphere $M = S^{2n-1}, \alpha = \frac{1}{2} \sum_{j=1}^n y_j dx_j - x_j dy_j$
symplectization $\cong \mathbb{C}^n \setminus \{0\}$ with standard form

$$\mathbb{R} \times S^{2n-1} \rightarrow \mathbb{C}^n \setminus \{0\} : (s, x, y) \mapsto e^{s/2} (x + iy).$$

- 2) Euclidean Space $M = \mathbb{R}^{2n+1}, \alpha = \sum_{j=1}^n y_j dx_j - dz$
symplectization $\cong (\mathbb{R}^{2n+2}, \omega_0)$
 $\mathbb{R} \times \mathbb{R}^{2n+1} \rightarrow \mathbb{R}^{2n+2} : (s, x, y, z) \mapsto (s, e^s z, x_1, e^s y_1, \dots, x_n, e^s y_n)$

- 3) Torus Unit cotangent bundle

↪ parallelizable b-c Lie group. $M = \mathbb{T}^n \times S^{n-1}$
 $\alpha = \sum_{j=1}^n y_j dx_j$

symplectization $\cong \mathbb{T}^n \times (\mathbb{R}^n \setminus \{0\})$, ω_{can}

$$\mathbb{R} \times \mathbb{T}^n \times S^{n-1} \rightarrow \mathbb{T}^n \times (\mathbb{R}^n \setminus \{0\}) : (s, x, y) \mapsto (x, e^s y).$$

Correspondence contact \leftrightarrow symplectic

$(W_\alpha, \omega_\alpha)$ Extrinsic symplectization

1) $f > 0$, $f\alpha$ also contact

$$(W_\alpha, \omega_\alpha) \cong (W_{f\alpha}, \omega_{f\alpha}) : (s, q) \mapsto (s - \log(f(q)), q)$$

2) $L \subset M$ legendrian \Leftrightarrow $\mathbb{R} \times L$ Lagrangian in W_α

3) $\psi: M \rightarrow M$ contactomorphism, $\psi^*\alpha = e^h \alpha$

$$\Leftrightarrow \tilde{\psi}(s, q) = (s - h(q), \psi(q))$$
 a symplectomorphism

Prop: (W, ω) symplectic, $M \subset W$ contact hypersurface. TFAE:

(1) \exists Contact form α on M s.t. $-d\alpha = \omega|_M$

(2) \exists Liouville v.f. $X: U \rightarrow TW$, $M \subset U \subset W$, s.t. $X \pitchfork M$

Pf: (2) \Rightarrow (1): $\alpha := -i(X)\omega$

Symplectic \rightarrow Contact

Liouville vector field: $(W, \omega) \times$ s.t.

$$L_X \omega = d\iota_X \omega = \omega$$

flow: $\psi_t^* \omega = e^t \omega$ wherever defined.

Idea: $M^{2n-1} \subset (W^{2n}, \omega) \rightarrow$ when $\psi: (W_\alpha, \omega_\alpha) \xrightarrow{\text{symp?}} (U, \omega)$

Idea: need a vector field X that acts like $\frac{\partial}{\partial s}$ and carries symplectic structure:

$$(\phi_t^s)^* e^s \alpha = e^{s+t} \alpha$$

$$\Rightarrow (\phi_t^s)^* \omega_\alpha = e^t \omega_\alpha$$

$$\text{want } (\phi_t^s)^* \omega = e^t \omega$$

Then $\alpha = 2_X \omega$ satisfies $d\alpha' = \omega$

$$\Rightarrow \alpha(X) = 0 \Rightarrow L_X \alpha = \alpha, (\phi_t^X)^* \alpha = e^t \alpha$$

Almost Complex Structures

$J: TM \rightarrow TM, J^2 = -\text{id}$

$\Rightarrow M$ always oriented w/ even dim

(M, ω) symplectic: ω -tame: $\omega(v, Jv) > 0 \quad \forall v$

ω -compatible: $\omega(Jv, Jw) = \omega(v, w)$.

$\hookrightarrow \langle v, w \rangle := \omega(v, Jw)$ = inner product.

Example: in dim $n=1, 3, 7$, every oriented hypersurface inherits an almost complex structure

$$J_x u := v(x) \times u$$

$$\omega_x(u, v) = \langle J_x u, v \rangle = \langle v(x), u \times v \rangle$$

Almost compatible structures + Levi-Civita:

$$(\nabla_v J) J + J (\nabla_v J) = 0$$

$$\langle (\nabla_u J)v, w \rangle + \langle v, (\nabla_u J)w \rangle = 0$$

$$d\omega(u, v, w) = S \langle (\nabla_u J)v, w \rangle$$

$$\omega \text{ closed: } (\nabla_{Ju} J) = -J(\nabla_{Ju} J).$$

Integrability:

holo charts for which J looks like J_0 in local coords
 \uparrow complex mult.
 $\phi: U \rightarrow \phi(U) \subset \mathbb{R}^{2n}, d\phi_q \circ J_q = J_0 \circ d\phi_q$

Nijenhuis Tensor:

$$N_J(x, y) := [x, y] + J[x, y] + J[x, Jy] - [Jx, Jy]$$

Integrability Theorem: J integrable $\Leftrightarrow N_J = 0$.

Rem: a.c.s \Rightarrow maybe no local a.c. diffeos.

ϕ preserves $J \Leftrightarrow L_X J = 0$

$$\Leftrightarrow L_X \circ J = J \circ L_X,$$

$\Leftrightarrow [x, Jy] = J[x, y]$ $\not\equiv$ x with this property.

Theorem: every a.c.s on a 2-dim manifold is integrable

Kahler Manifolds

(M, J, ω) , J is ω -compatible

Ex 1: $(\mathbb{R}^{2n}, J_0, \omega_0)$ $\{x_1, \dots, x_n, y_1, \dots, y_n\}$.

$$z_j = x_j + iy_j, \bar{z}_j = x_j - iy_j$$

$$\omega_0 = \frac{i}{2} \partial \bar{\partial} f = \frac{i}{2} \sum_{j=1}^n dz_j \wedge d\bar{z}_j \quad f(z) = \sum_{j=1}^n z_j \bar{z}_j$$

Ex 2: $\mathbb{CP}^n = \{[z_0: \dots : z_n]\}$, trans. are holo \Rightarrow mult by i is a valid integrable c.s. Fubini-Study form is compatible with J .

Circle Actions

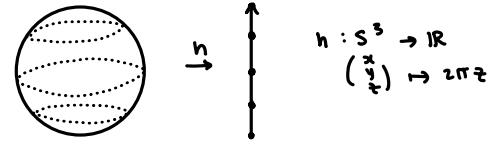
Hamiltonian Circle Action: 1-parameter family $\mathbb{R} \rightarrow \text{Symp}(M)$: $t \mapsto \varphi_t$, 1-periodic, $\varphi_0 = \varphi_1 = \text{id}$.
 integral of Hamiltonian v.f. X_H .
 H = "moment map"

Lemma: $S^1 \xrightarrow{\text{free}} H^{-1}(\lambda)$, λ regular. Then
 $B_\lambda := H^{-1}(\lambda) / S^1$

has a symplectic form τ_λ s.t. $\iota^* \tau_\lambda = \omega|_{H^{-1}(\lambda)}$. called the symplectic quotient of (M, ω) at λ .

Condition for S^1 actions to be Hamiltonian

Example:



Example: \mathbb{CP}^n and Fubini-Study Form:

$S^1 \xrightarrow{\sim} (\mathbb{R}^{2n+2}, \omega_0)$, by $x e^{2\pi i t}$ on \mathbb{C}^{n+1} . This is gen. by the function $H(z) = -\pi |z|^2$.

Then the symplectic quotient at $\lambda = -\pi$ is \mathbb{CP}^n w/ Fubini-Study form.

$\pi_H: \mathbb{C}^{n+1} \rightarrow \mathbb{CP}^n \rightsquigarrow \pi_H: S^{2n+1} \rightarrow \mathbb{CP}^n$ is the Hopf fibration.

\mathbb{CP}^1 : on $U_0 = \{[z_0 : z_1] \in \mathbb{CP}^1 \mid z_0 \neq 0\}$ is given by

$$\omega_{FS} = \frac{dx \wedge dy}{(x^2 + y^2 + 1)^2}, \quad \frac{z_1}{z_0} = z = x + iy.$$

J-holo curves

Riemann surface $(\Sigma, j_\Sigma, d\text{vol}_\Sigma)$

J-holo curve: $u: \Sigma \rightarrow M$ s.t. du is complex linear wrt j and J : $J \circ du = du \circ j$.

$$\Leftrightarrow \bar{\partial}_J(u) = 0, \quad \bar{\partial}_J(u) = \frac{1}{2}(du + J \circ du \circ j) \in \Omega^{0,1}(\Sigma, u^* TM)$$

Conformal coords $z = s + it$ on Σ ,

$$\bar{\partial}_J(u) = \frac{1}{2}(u_s + Ju_t) ds + \frac{1}{2}(u_t - Ju_s) dt$$

$$\Rightarrow u \text{ J-holo} \Leftrightarrow u_s + Ju_t = 0$$

Example:

$(V, J) = (S_1 \times S_2, J_1 \oplus J_2)$, product of two Riemann surfaces. Then the graphs of holo maps $(S_1, J_1) \rightarrow (S_2, J_2)$ are regular J -curves.

Critical points:

lem: $u: \Sigma \rightarrow M$, Σ closed, $J \in \mathcal{C}^1$, u nonconstant. Then $x = u^{-1}(\{u(z) \mid z \in \Sigma, du(z) = 0\})$

is finite. Moreover, $u^{-1}(x)$ is finite $\forall x$.

pf: idea is that ∞ -jet at u is nonzero, so $\exists \ell \in \mathbb{N}$ s.t. $u(z) = O(|z|^\ell)$, and $u(z) \neq O(|z|^{\ell+1})$
 $\Rightarrow J(u(z)) = J_0 + O(|z|^\ell)$, and $u(z) = az^\ell + O(|z|^{\ell+1})$.

$$\partial_S u + J(u) \partial_T u = 0 \Rightarrow \partial_S T_\ell(u) + J_0 \partial_T T_\ell(u) = 0.$$

$$u(z) = az^\ell + O(|z|^\ell), \quad \partial_S u(z) = \ell az^{\ell-1} + O(|z|^\ell)$$

Then $0 < |z| < \varepsilon$, $u(z) \neq 0$, $du(z) \neq 0$.

Important results:

- 1) if two J-holo points sequences converging to the same regular point, then in a neighbourhood of zero they must be related by a holo fct.
- 2) $T \subset \mathbb{C}^2$, Σ_0, Σ_1 closed. $u_i: \Sigma_i \rightarrow M$ J-holo, with $u_0(\Sigma_0) \neq u_1(\Sigma_1)$ nonconstant. Then $u_0^{-1}(u_1(\Sigma_1))$ is at most countable and can only accumulate at critical pts.

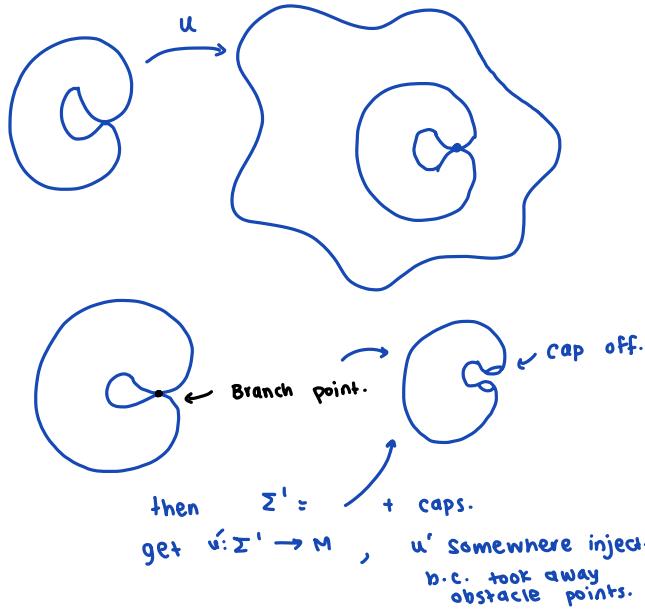
Somewhere Injective Curves.

Multiply covered: (Σ, j) compact, $\exists (\Sigma', j')$ comp. and $u': \Sigma' \rightarrow M$, and holo. branched covering $\phi: \Sigma \rightarrow \Sigma'$, s.t. $u = u' \circ \phi$, $\deg(\phi) > 1$.

Somewhere injective: $\exists z \in \Sigma$ s.t. $du(z) \neq 0$, $u^{-1}(u(z)) = \{z\}$.
 ↳ simple J -holes have injective points open and dense.

simple J -hole \Rightarrow somewhere injective.

Idea: Construct a branched cover of u that only has degree 1 $\Leftrightarrow u$ is somewhere injective. Since u is assumed to be simple, \Rightarrow somewhere inj.



Moduli Spaces and Transversality

$$\mathcal{M}(A, \Sigma; J) = \{ u \in C^\infty(\Sigma, M) \mid Ju = du \circ J, [u] = A \}.$$

$$\mathcal{M}^+(A, \Sigma; J) = \mathcal{M}(A, \Sigma; J) \cap \{\text{simple } u\}$$

I) Description of \mathcal{M} using ∞ -dim bundles.

$$B = \{ u: \Sigma \rightarrow M, [u] = A \},$$

$$T_u B = \Omega^0(\Sigma, u^* TM) \leftarrow \text{smooth v.f. CTM along } u.$$

$$\Sigma \rightarrow B$$

$$E_u = \Omega^{0,1}(\Sigma, u^* TM) \leftarrow \text{vector valued antilinear forms}$$

$$\text{Section } S(u) := (u, \bar{\partial}_J(u))$$

$$\mathcal{M}(A, \Sigma; J) = S \cap S_0.$$

II) The operator D_u

$D_u = \text{vertical differential of } S \text{ at } u.$

$$D_u = \pi_u \circ ds$$

$$T_{(u,0)} E = T_{uB} \oplus E_u \quad ds: T_{uB} \rightarrow T_{(u,0)} \Sigma$$

Transverse $\cap \Leftrightarrow D_u \text{ surjective}$

In local (conformal coordinates)

$$D_u \xi = \bar{\partial}_J \xi - \underbrace{\frac{1}{2} (J \partial_J J)(u) \partial_J(u)}_{\text{also antiholo 1-form}}$$

real Cauchy-Riemann operator.

III) General description of operator

need to split $T_{(u, \bar{\partial}_J(u))} E$ into horizontal + vertical distns.

∇ Levi-Civita on TM

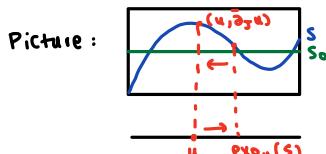
$$\bar{\nabla}_X X = \nabla_X X - \frac{1}{2} J(\nabla_X J) X$$

$$\xi \in \Omega^0(\Sigma, u^* TM)$$

$$\underline{\partial}_u(\xi) : u^* TM \rightarrow \exp_u(\xi)^* TM$$

parallel transport along geodesics $s \mapsto \exp_{u(s)}(s(u))$.

$$\text{set } \underline{f}_u = \underline{\partial}_u(\xi) \bar{\partial}_J(\exp_u(\xi))$$



$$D_u \xi = d\underline{f}_u(0) \xi.$$

IV) Properties of D_u

D_u is an \mathbb{R} -linear, Cauchy-Riemann operator, hence is Fredholm.

Fredholm: $\dim(\ker), \dim(\text{coker}) < \infty$.

$$\text{Riemann-Roch: } \text{ind}(D_u) = n(z-2g) + 2c_1(u^* TM).$$

genus of g

V) \mathcal{M} is a manifold for "generic" J .

$$\mathcal{M}^*(A, \Sigma; J^\ell) := \{(u, J) \mid J \in J^\ell, u \in \mathcal{M}^*(A, \Sigma; J)\}$$

is Banach for large enough ℓ

$$\pi: \mathcal{M}^*(A, \Sigma; J^\ell) \rightarrow J^\ell \text{ is Fredholm}$$

\Rightarrow Sard-Smale \Rightarrow regular values of π is of 2nd category.

Use $\ell < \infty$ to have Banach manifold, Fredholm + Sard-Smale.

Sobolev Spaces

Idea of Proof of Manifold Structure

$$\underline{f}_u: W^{k,p}(\Sigma, u^* TM) \rightarrow W^{k-1,p}(\Sigma, \Lambda^{0,1} \otimes u^* TM).$$

Basically what \underline{f}_u was before.

$$\underline{f}_u^{-1}(0) = \{ \text{v.f. s.t. parallel transporting along them gives } 0 \}.$$

\hookrightarrow then $\xi \mapsto \exp_u(\xi)$ gives diffeo

$$\underline{f}_u^{-1}(0) \cong \text{open } n\text{-hood of } u \text{ in } \mathcal{M}^*(A, \Sigma; J).$$

Let J be regular: $d\underline{f}_u(0) = D_u$ is surjective

and \underline{f}_u Fredholm between Banach spaces, then

∞ -dim Implicit Function Theorem \Rightarrow \exists neighbourhood of 0 in $W^{k,p}(\Sigma, u^* TM)$ U s.t. $U \cap \underline{f}_u^{-1}(0)$ is a submanif. of dim = $\text{ind}(\underline{f}_u) = n(z-2g) + c_1(A)$.

Then use diffeo to get chart in $\mathcal{M}^*(A, \Sigma; J)$.

That deals with the C^ℓ case \rightarrow what about C^∞ ?

Answer: Elliptic Bootstrapping

(Elliptic regularity) J a.c.s., C^ℓ , $\ell \geq 1$. $u: \Sigma \rightarrow M$ J -holo of class $W^{k,p}$, $p > z$, then u of class $W^{\ell+1,p}$. In particular, u is of class C^ℓ , and if J is smooth then so is u .

Proof that Regular J are generic

Key ingredient: the projection $\pi: \mathcal{M}^*(A, \Sigma; J^\ell) \rightarrow J^\ell$.

Tangent space of Moduli space:

$$T_{(u, J)} \mathcal{M}^*(A, \Sigma; J^\ell) \subset \underbrace{W^{1,p}(\Sigma, u^* TM)}_{T \text{ of } \mathcal{M}^*(\Sigma, A; J)} \times \underbrace{C^\ell(M, \text{End}(TM, J, w))}_{\text{think of as tangent vectors to } J \text{ of } u.}$$

Comprises pairs (ξ, γ) s.t

$$Du\xi + \frac{1}{2}\gamma(u) \circ du \circ j_\Sigma = 0$$

Note: $d\pi: T_{(u, J)} \mathcal{M}^*(A, \Sigma; J^\ell) \rightarrow T_J J$

$$\xrightarrow{\quad} (\xi, \gamma) \mapsto \gamma$$

fix (u, J) for a moment!

$$\ker(d\pi) = \xi \in T_u \mathcal{M}^*(A, \Sigma; J) = \ker Du$$

Deals w/ ker, coker \cong that of Du by some linear alg.

So since Du is Fredholm, $d\pi$ fredholm.

Then use Sard-Smale.

Compactness

I) Energy

$$E(u) = \frac{1}{2} \int_{\Sigma} u^* w = \frac{1}{2} \int_{\Sigma} |du(z)|^2 d\text{vol}_{\Sigma}$$

↪ on a closed $\Sigma \rightarrow$ topological invariant.

uniform bound on du : $\sup_v \|du^v\|_{L^\infty(\Sigma)} < \infty$

\Rightarrow convergence to J-holo curve.

case when $\sup_v \|du^v\|_{L^\infty(\Sigma)} = \infty$, but
 $\sup_v E(u^v) < \infty$,

then get formation of bubbles.

Proof:

z^v be point where du^v attains its maximum,
and let $\|du^v\|_{L^\infty} = |du^v(z^v)| =: c^v$.

pass to a subsequence $\rightarrow z^v \rightarrow z_0$, $c^v \rightarrow \infty$.

idea: basically look locally at a chart of Σ

$\phi: \Omega \rightarrow \Sigma$ s.t. $\phi(0) = z_0$,

$$\phi^* d\text{vol}_{\Sigma} = \pi^2 ds \wedge dt,$$

$$\gamma: \Omega \rightarrow (0, \infty), \quad \gamma(0) = 1, \quad \frac{1}{2} \leq \gamma(z) \leq 2.$$

Reparametrize u^v as $u^v \circ \phi: \Omega \rightarrow M$,

z^v now is $\phi^{-1}(z^v)$. Then

$$\lim_{v \rightarrow \infty} z^v = 0, \quad \text{and} \quad c^v = \sup_{\Omega} \frac{|du^v(z^v)|}{\gamma} \rightarrow \infty$$

Okay so now we have a $\Omega \subset \mathbb{C}$ s.t. the centre point blows up.

Idea is to show that, reparametrizing u^v , we can describe the limit as a J-holo sphere.

$$v^v: B_{\epsilon c^v} \rightarrow M, \quad v^v(z) := u^v(z^v + \frac{z}{c^v})$$

looks like a small nhood.

$$\text{Then } |dv^v(0)| \geq \frac{1}{2}, \quad \|dv^v\|_{L^\infty(B_{\epsilon c^v})} \leq 2$$

$$E(v^v; B_{\epsilon c^v}) \leq E(u^v).$$

This satisfies conditions for convergence of subsequence

limit function $v: \mathbb{C} \rightarrow M$ is J-holo with

$$|dv(0)| \geq \frac{1}{2}, \quad 0 < E(v) \leq \sup_v E(u^v).$$

Then reparametrize back: $\mathbb{C} \setminus \{z_0\} \rightarrow M, z \mapsto v(\frac{z}{c^v})$

Gromov Nonsqueezing

$z: B^{2n}(r) \hookrightarrow \bar{z}^{2n}(R)$ a symplectic embedding, then $r \leq R$.

Outline of Proof:

- (1) $z: B^{2n}(r) \longrightarrow B^2(R) \times \mathbb{R}^{2n-2}$
- (2) Assume $\text{Im}(f) \subseteq \text{compact sub-} \text{of } \text{Int}(\bar{z}^{2n}(R))$
- (3) Then $z: B^{2n}(r) \hookrightarrow B^2(R) \times (\mathbb{R}^{2n-2}/\mathbb{Z}^{2n-2})$ embedding.
- (4) Collapse $\partial B^2(R)$: $z: B^{2n}(R) \hookrightarrow S^2 \times T^{2n-2}$

Two ways: using \mathfrak{J} -holo spheres or \mathfrak{J} -holo disks.

Disks: look at $\mu(D^2, A; \mathfrak{J})/G$, $A = [B^2(R) \times \{\text{pt}\}]$

Cook up a \mathfrak{J} -holo disk $u: (D, \partial D) \mapsto (\bar{z}, \partial \bar{z})$ through $\phi(o) \in \bar{z}$.

Look at $\overline{z(B^{2n}(r)) \cap u(D, \partial D)}$, and take u^{-1} , call it Σ .

If D^2 is a disk in $B^{2n}(r)$, then z is a symplectomorphism on it. So, z^{-1} is well defined.

Hence get $\tilde{u} = z^{-1} \circ u$

Note $\bar{\Sigma} \subset \text{Int}(D^2)$, and $u(\partial \Sigma) \subset \partial B^{2n}(r)$.

Then the pair u, \tilde{u} of \mathfrak{J} -holomorphic surfaces give us a way to interpolate between $B^{2n}(r)$ and $\bar{z}^{2n}(R)$.

Monotonicity lemma: $\tilde{u}: (\Sigma, o) \rightarrow (\mathbb{C}^n, o)$ proper, holo, then $\forall r > 0$,

$$\int_{\tilde{u}^{-1}(B_r)} \tilde{u}^* \omega > \pi r^2.$$

$$\Rightarrow \int_{\Sigma} \tilde{u}^* \omega > \pi r^2.$$

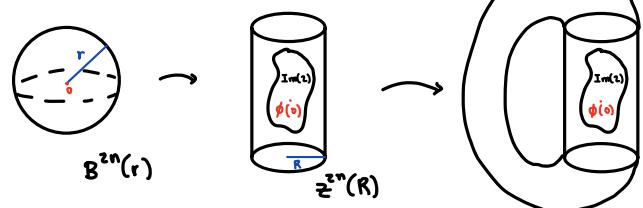
On the other hand, on Σ : $\tilde{u}^* \omega = \tilde{u}^* z^* \omega = (z \circ \tilde{u})^* \omega = u^* \omega$,

$$\Rightarrow \int_{\Sigma} \tilde{u}^* \omega = \int_{\Sigma} u^* \omega \leq \int_{D^2} u^* \omega$$

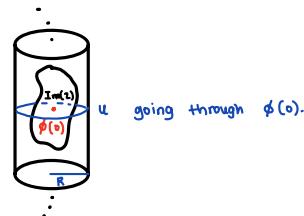
$$\begin{aligned} &= \text{area}(D^2) \\ &= \pi R^2 \end{aligned}$$

$$\Rightarrow \pi r^2 \leq \pi R^2 \Rightarrow r \leq R.$$

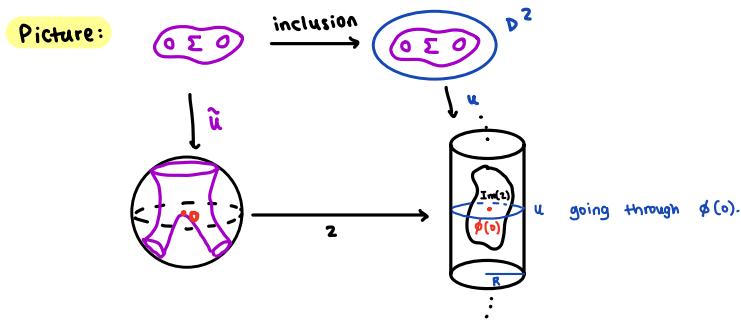
Pictures:



Compactify!



⋮



Note: How to think of \mathfrak{J} -holo disk: